PTO/SB/17 (12-04)
Approved for use through 07/31/2006. OMB 0651-0032
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THADE	Effective o	n 12/8/2004.		A and in a time. A least to a	Complete If K	nown		
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).			Application Number	10/708,121				
FEE TRANSMITTAL				Filing Date	2/10/2004			
For FY 2005				First Named Inventor	Albert, et al.			
. FOI F1 2005				Examiner Name	Thomas, Brai	ndi N.		
X Applicant Cla	ims small entity	status. See	37 CFR 1.27	Art Unit	2873			
OTAL AMOUNT OF PAYMENT (\$) 180.00 Attorney Docket No. H-365								
METHOD OF PAYMENT (check all that apply)								
Check Credit Card Money Order Other (please identify):								
X Deposit Acc	ount Deposit A	ccount Number	<u>501162</u>	Deposit Account Name: _E	E Ink			
			Director is hereby autho	rized to: (check all that ap				
X Cha	rge fee(s) indicat	ed below		Charges fee(s)	indicated below, ex	cept for the filing fee		
			erpayments of fee(s)	X Credit any over	payments			
	er 37 CFR 1.16 a n on this form may		Credit card information s	hould not be included on th	is form. Provide credi	it card information and		
authorization on PTO-2	038.	•						
FEE CALCULATION								
1. BASIC FILING,		<b>DEXAMINA</b> GFEES		TO EVANIN	ATION FEED			
	FILIN	Small Entity	SEARCH FEI <u>Small I</u>		ATION FEES Small Entity			
Application Type	Fee (\$)	Fee (\$)	Fee (\$) Fee (\$		Fee (\$)	Fees Paid (\$)		
Utility	300	150	500 2	50 200	100	\$ 0.00		
Design	200	100	100	50 130	65			
Plant	200	100	300 1	50 160	80			
Reissue	300	150	500 2	50 600	300			
<b>Provisional</b>	200	100	0	0 0	0			
2. EXCESS CLAIM	/I FEES					Small Entity		
Fee Description		1 1 1 .	20 1 4			Fee (\$) Fee (\$)		
				nan in the original pater		50 25		
Multiple dependent		, for Reissues	s, each independent c	laim more than in the c	originai patent	200 100 360 180		
Total Claims	Extra Cla	ims I	Fee (\$) Fee P	aid (\$)	Multiple Depend			
0 - 20 oi		x		\$ 0.00	Fee (\$)	Fee Paid (\$)		
HP = highest number of t	otal daims paid for,	if greater than 20		<u> </u>	\$180.00	0.00		
Indep. Claims	Extra Cla	<u>ims</u>	Fee (\$) Fee P	aid (\$)				
0 -3 or				\$ 0.00				
HP = highest number of i	•	paid for, if greater	than 3					
3. APPLICATION		aveced 100 sk	and of money the au-	aliandiam alma faa daa ia				
				plication size fee due is		r sman ennty)		
for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41 (a)(1)(G) and 37 CFR 1.16(s).  Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)								
0 -100 = 0 /50 = 0 (round up to a whole number) x \$125.00 = \$ 0.00								
4. OTHER FEE(S) Fees Paid(\$)								
Non-English Specification, \$130 fee (no small entity discount)								
Other: Fee Code 1806 Submission of an Information Disclosure Statement \$180.00								
SUBMITTED BY								
Signature	Dan	15.	Registrat (Attorney)		Telep	hone (617) 499 6069		
Name (Print/Type)	David J. Cole				Date	May 25, 2005		

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.:

10/708,121

Application of:

Albert, et al.

**Confirmation No.:** 

2120

Filed:

February 10, 2004

**Group Art Unit:** 

2873

Examiner:

Thomas, Brandi N.

**Attorney Docket No.:** 

H-365

**Customer No.:** 

26245

Cambridge, Massachusetts May 25, 2005

## **INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

Sir:

The applicant is filing this Information Disclosure Statement to make of record US and foreign patents and applications, and non-patent references. The references it is desired to make of record are listed on the accompanying Forms.

All of the references filed herewith are in the English language so that, pursuant to 37 CFR 1.98(a)(3), no statement of the relevance of each reference is required.

The Examiner is respectfully asked to note that, for the convenience of both the Examiner and the undersigned attorney, certain prior art documents mentioned in the application have been replaced by their US equivalents or other convenient equivalents, as follows:

Albert, et al. Serial No. 10/708,121 Information Disclosure Statement of May 25, 2005 Page 2

Document not supplied	Alternative supplied
20010045934	US6480182
20020019081	US6825068
20020021270	US6816147
20020053900	US6512354
20020063677	US6518949
20020075556	US6788449
20020106847	US6683333
20020131147	US6866760
20020145792	US6538801
20020154382	US6580545
20020171910	US6870661
20020180688	US6864875
20020185378	US6822782
20030011868	US6753999
20030025855	US6831769
20030034949	US6842167
20030038755	US6819471
20030053189	US6825970
20030076573	US6704133
20030096113	US6721083
20030112491	US6067185
20030137717	US6839158
20030189749	US6580545
20030214697	US6865010
WO 00/20922	US6839158
WO 00/26761	US2004/0119681
WO 00/38001	US6724519

Albert, et al. Serial No. 10/708,121 Information Disclosure Statement of May 25, 2005 Page 3

Document not supplied	Alternative supplied
WO 01/08241	US6842657
WO 01/17029	US6545291
WO 01/17041	US6498114
WO 02/01281	US6672921
WO 03/104884	US2004/0027327
WO 97/04398	US6124851
WO 99/67678	EP1145072B1

In accordance with 37 CFR 1.98(a)(2) no copies of the listed U.S. patents and applications are being filed. Furthermore, only copies of References B1, B11, C3, C6, C8, C10, C13, C15, C18, C20, C22 and C23 are being filed herewith since the remaining foreign patents and non-patent references are readily available to the Examiner in the file of the parent Application: Serial No. 10/064,389.

Since this Information Disclosure Statement is being filed after the issue of an Office Action in this case, but before issue of a Notice of Allowance, the fee prescribed by 37 CFR 1.17(p) is payable and is included on the enclosed Fee Transmittal.

Respectfully submitted,

David J. Cole

Registration No. 29629

E INK Corporation 733 Concord Avenue Cambridge MA 02138

Telephone (617) 499-6069 Fax (617) 499-6200 E-mail dcole@eink.com

DJC/grw

n 1449/PTO

**INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

(Using as many sheets as necessary)

Sheet:

Complete if Known						
Application Number 10/708,121						
Filing Date	February 10, 2004					
First Named Inventor	Albert					
Art Unit	2873					
Examiner Name	Thomas, Brandi N.					
Attorney Docket No.	H-365					

U.S. Patent Documents							
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear		
	A1	D485294	01/13/2004	Albert			
	A2	20050099672	05/12/2005	Jacobson et al.			
	А3	20050035941	02/17/2005	Albert et al.			
	A4	20050000813	01/06/2005	Pullen et al.			
	A5	20040257635	12/23/2004	Paolini, Jr. et al.			
	A6	20040233509	11/25/2004	Zhang et al.			
	A7	20040196215	10/07/2004	Duthaler et al.			
	A8	20040180476	09/16/2004	Kazlas et al.			
	A9	20040155857	08/12/2004	Duthaler et al.			
	A10	20040136048	07/15/2004	Arango et al.			
	A11	20040120024	06/24/2004	Chen et al.			
	A12	20040119681	06/24/2004	Albert et al.			
	A13	20040112750	06/17/2004	Jacobson et al.			
	A14	20040112525	06/17/2004	Pereira et al.			
-	A15	20040105036	06/03/2004	Danner et al.			
	A16	20040094422	05/20/2004	Pullen et al.			
	A17	20040075634	04/22/2004	Gates			
	A18	20040027327	02/12/2004	LeCain et al.			
	A19	20040014265	01/22/2004	Kazlas et al.			
	A20	20040012839	01/22/2004	Cao et al.			
_	A21	20030222315	12/04/2003	Amundson et al.			
	A22	20030214695	11/20/2003	Abramson et al.			
	A23	20030179437	09/25/2003	Liang et al.			
	A24	20030151702	08/14/2003	Morrison et al.	······		
	A25	20030137521	07/24/2003	Zehner et al.			
	A26	20030132908	07/17/2003	Herb et al.			
	A27	20030102858	06/05/2003	Jacobson et al.			
	A28	20030020844	01/30/2003	Albert et al.			
	A29	20030011560	01/16/2003	Albert et al.			
	A30	20020180687	12/05/2002	Webber			

Examiner	
Signature	Date Considered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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\*Applicant's unique citation designation number (optional). \*2Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO				Complete if Known		
	•			Application Number	10/708,121	
INI	EOD!	MATION	DISCI OSLIDE	Filing Date	February 10, 2004	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				First Named Inventor	Albert	
				Art Unit	2873	
	(Using	g as many sh	eets as necessary)	Examiner Name	Thomas, Brandi N.	
Sheet:	2	of	10	Attorney Docket No.	H-365	

	U.S. Patent Documents							
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear			
	A31	20020130832	09/19/2002	Baucom et al.				
	A32	20020113770	08/22/2002	Jacobson et al.				
	A33	20020090980	07/11/2002	Wilcox et al.				
	A34	20020063661	05/30/2002	Comiskey et al.				
	A35	20020060321	05/23/2002	Kazlas et al.				
	A36	20020027635	03/07/2002	Sakamaki et al.				
·	A37	20010030639	10/18/2001	Goden				
	A38	6870661	03/22/2005	Pullen et al.				
	A39	6866760	03/15/2005	Paolini, Jr. et al.				
	A40	6865010	03/08/2005	Duthaler et al.				
	A41	6864875	03/08/2005	Drzaic et a.				
	A42	6842657	01/11/2005	Drzaic et al.				
	A43	6842279	01/11/2005	Amundson				
	A44	6842167	01/11/2005	Albert et al.				
	A45	6839158	01/04/2005	Albert et al.				
	A46	6831769	12/14/2004	Holman et al.				
	A47	6825970	11/30/2004	Goenaga et al.				
	A48	6825829	11/30/2004	Albert et al.	· · · · · ·			
	A49	6825068	11/30/2004	Denis et al.	<del> </del>			
	A50	6822782	11/23/2004	Honeyman et al.	·			
	A51	6819471	11/16/2004	Amundson et al.				
	A52	6816147	11/09/2004	Albert				
	A53	6788449	09/07/2004	Liang et al.				
	A54	6753999	06/22/2004	Zehner et al.	-			
	A55	6750473	06/15/2004	Amundson et al.	<del></del>			
	A56	6738050	05/18/2004	Comiskey et al.				
	A57	6727881	04/27/2004	Albert et al.	<del></del>			
	A58	6724519	04/20/2004	Comiskey et al.				
	A59	6721083	04/13/2004	Jacobson et al.				
	A60	6710540	03/23/2004	Albert et al.				

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Examiner		
Signature	Date Considered	
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Substitute for form 1449/PTO Complete if Known 10/708,121 **Application Number** Filing Date February 10, 2004 **INFORMATION DISCLOSURE** First Named Inventor Albert STATEMENT BY APPLICANT 2873 Art Unit (Using as many sheets as necessary) Examiner Name Thomas, Brandi N.

Attorney Docket No.

H-365

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of

Sheet:

	U.S. Patent Documents						
Examiner Cite			Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear		
A61		6704133	03/09/2004	Gates et al.	у соездения учения при		
	A62	6693620	02/17/2004	Herb et al.			
	A63	6683333	01/27/2004	Kazlas et al.			
	A64	6680725	01/20/2004	Jacobson			
	A65	6672921	01/06/2004	Liang et al.			
	A66	6664944	12/16/2003	Albert et al.			
	A67	6657772	12/02/2003	Loxley			
	A68	6652075	11/25/2003	Jacobson			
	A69	6639578	10/28/2003	Comiskey et al.			
	A70	6580545	06/17/2003	Morrison et al.			
	A71	6545291	04/08/2003	Amundson et al.			
	A72	6538801	03/25/2003	Jacobson et al.			
	A73	6535197	03/18/2003	Comiskey et al.			
	A74	6531997	03/11/2003	Gates et al.			
	A75	6521489	02/18/2003	Duthaler et al.			
	A76	6518949	02/11/2003	Drzaic			
	A77	6515649	02/04/2003	Albert et al.			
	A78	6512354	01/28/2003	Jacobson et al.			
	A79	6506438	01/14/2003	Duthaler et al.			
	A80	6504524	01/07/2003	Gates et al.			
	A81	6498114	12/24/2002	Amundson et al.			
	A82	6480182	11/12/2002	Turner et al.			
	A83	6473072	10/29/2002	Comiskey et al.			
	A84	6459418	10/01/2002	Comiskey et al.			
_	A85	6445489	09/03/2002	Jacobson et al.			
	A86	6445374	09/03/2002	Albert et al.			
	A87	6428650	08/06/2002	Chung			
	A88	6422687	07/23/2002	Jacobson			
	A89	6413790	07/02/2002	Duthaler et al.	-		
	A90	6392786	05/21/2002	Albert	28		

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Substitute for form 1449/PTO				Con	Complete if Known		
				Application Number	10/708,121		
l IN	IFORM/	ATION	I DISCI OSLIDE	Filing Date	February 10, 2004		
INFORMATION DISCLOSURE			· - <del></del>	First Named Inventor	Albert		
l S	STATEMENT BY APPLICANT			Art Unit	2873		
	(Using a	s many sl	neets as necessary)	Examiner Name	Thomas, Brandi N.		
Sheet:	4	of	10	Attorney Docket No.	H-365		

U.S. Patent Documents							
Examiner Initials*	Cite No. *1 Document Number		Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear		
	A91	6392785	05/21/2002	Albert et al.	. decages of Figures Appear		
	A92	6377387	04/23/2002	Duthaler et al.			
	A93	6376828	04/23/2002	Comiskey			
	A94	6365949	04/02/2002	Ruiter et al.			
	A95	6344155	02/05/2002	Kitahara et al.			
	A96	6327072	12/04/2001	Comiskey et al.			
	A97	6323989	11/27/2001	Jacobson et al.			
	A98	6312971	11/06/2001	Amundson et al.			
	A99	6312304	11/06/2001	Duthaler et al.			
	A100	6301038	10/09/2001	Fitzmaurice et al.	<del></del>		
	A101	6300932	10/09/2001	Albert			
	A102	6271823	08/07/2001	Gordon , II et al.	<del></del>		
	A103	6262833	07/17/2001	Loxley et al.			
	A104	6262706	07/17/2001	Albert et al.			
	A105	6252564	06/26/2001	Albert et al.			
	A106	6249271	06/19/2001	Albert et al.			
	A107	6241921	06/05/2001	Jacobson et al.			
	A108	6232950	05/15/2001	Albert et al.			
	A109	6225971	05/01/2001	Gordon , II et al.			
	A110	6215920	04/10/2001	Whitehead et al.			
	A111	6184856	02/06/2001	Gordon , II et al.			
	A112	6184331	02/06/2001	Chiang et al.			
	A113	6177921	01/23/2001	Comiskey et al.			
	A114	6172798	01/09/2001	Albert et al.			
	A115	6147791	11/14/2000	Sheridon			
	A116	6144361	11/07/2000	Gordon , II et al.			
	A117	6140405	10/31/2000	Eckstein et al.			
	A118	6137467	10/24/2000	Sheridon et al.			
	A119	6136128	10/24/2000	Chung			
	A120	6130774	10/10/2000	Albert et al.			

Examiner	
Signature	Date Considered

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Substitute for form 1449/PTO Complete if Known 10/708,121 Application Number Filing Date February 10, 2004 **INFORMATION DISCLOSURE** First Named Inventor Albert STATEMENT BY APPLICANT Art Unit 2873 (Using as many sheets as necessary) Thomas, Brandi N. **Examiner Name** 

Attorney Docket No.

H-365

10

Sheet:

	U.S. Patent Documents						
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear		
	A121	6130773	10/10/2000	Jacobson et al.			
	A122	6128124	10/03/2000	Silverman			
	A123	6124851	09/26/2000	Jacobson			
	A124	6120839	09/19/2000	Comiskey et al.			
	A125	6120588	09/19/2000	Jacobson			
	A126	6118426	09/12/2000	Albert et al.			
	A127	6113810	09/05/2000	Hou et al.			
	A128	6097531	08/01/2000	Sheridon			
	A129	6067185	05/23/2000	Albert et al.			
	A130	6055091	04/25/2000	Sheridon et al.			
	A131	6054071	04/25/2000	Mikkelsen, Jr.	·		
	A132	6017584	01/25/2000	Albert et al.	•		
	A133	5972493	10/26/99	lwasaki et al.			
	A134	5961804	10/05/1999	Jacobson et al.			
	A135	5930026	07/27/1999	Jacobson et al.			
	A136	5891366	04/06/1999	Gruenwald et al.			
	A137	5872552	02/16/1999	Gordon , II et al.			
	A138	5808783	09/15/1998	Crowley			
	A139	5784190	07/21/1998	Worley			
	A140	5777782	07/07/1998	Sheridon			
	A141	5760761	06/02/1998	Sheridon	· · · · · · · · · · · · · · · · · · ·		
	A142	5745094	04/28/1998	Gordon , II et al.			
	A143	5737115	04/07/1998	Mackinlay et al.			
	A144	5707738	01/13/1998	Hou			
	A145	5699097	12/16/97	Takayama et al.	·		
	A146	5688584	11/18/97	Casson et al.	· · · · · · · · · · · · · · · · · · ·		
	A147	5686383	11/11/97	Long et al.	· 3		
	A148	5635317	06/03/1997	Taniguchi et al.	<del></del>		
	A149	5627561	05/06/1997	Laspina et al.			
		5460688	10/24/95	DiSanto et al.			

Examiner	
Signature	Date Considered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form

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Substitute for form 1449/PTO	Con	Complete if Known		
	Application Number	10/708,121		
INFORMATION DISCLOSURE	Filing Date	February 10, 2004		
	First Named Inventor	Albert		
STATEMENT BY APPLICANT	Art Unit	2873		
(Using as many sheets as necessary)	Examiner Name	Thomas, Brandi N.		
Sheet: 6 of 10	Attorney Docket No.	H-365		

	U.S. Patent Documents						
Examiner Initials*	Cite No. *1 Document Number		Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear		
	A151	5411656	05/02/1995	Schubert			
	A152	5403518	04/04/1995	Schubert			
	A153	5380362	01/10/1995	Schubert			
	A154	5302235	04/12/1994	DiSanto et al.	•		
	A155	5250938	10/5/93	DiSanto et al.			
	A156	5213983	5/25/93	Gustafsson et al.			
	A157	5213715	05/25/1993	Patterson et al.	·		
	A158	5128226	7/7/92	Hung			
	A159	4892607	01/09/1990	DiSanto et al.			
	A160 4742345		5/3/88	DiSanto et al.			
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	A176	2800457	7/23/57	Green et al.			
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Substitute fo	or form 14	49/PTO		Complete if Known		
				Application Number	10/708,121	
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	_		<del>-</del>	First Named Inventor	Albert 2873	
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	(1	Using as mar	y sheets as necessary)	Examiner Name	Thomas, Brandi N.	
Sheet:	7	of:	10	Attorney Docket No.	H-365	

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Substitute for form 1449/PTO				Complete if Known		
		Application Number	10/708,121			
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				First Named Inventor	Albert	
STA	ATEMEN	NT BY A	<b>APPLICANT</b>	Art Unit	2873	
	(Using as m	any sheets a	s necessary)	Examiner Name	Thomas, Brandi N.	
Sheet:	8	of:	10	Attorney Docket No.	H-365	

		NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. *1	Document Citation	T*2
	C1	Amundson, K., et al., "Flexible, Active-Matrix Display Constructed Using a Microencapsulated Electrophoretic Material and an Organic-Semiconductor-Based Backplane", SID 01 Digest, 160 (June 2001)	
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Examiner		
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Substitute for form 1449/PTO			*	Cor	Complete if Known		
				Application Number	10/708,121		
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				First Named Inventor	Albert		
STA	ALEMEI	NT BY A	PPLICANT	Art Unit	2873		
(Using as many sheets as necessary)				Examiner Name	Thomas, Brandi N.		
Sheet:	9	of:	10	Attorney Docket No.	H-365		

NON-PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. *1	Document Citation				
	C11	Hou, J., et al., "Active Matrix Electrophoretic Displays Containing Black and White Particles with Opposite Polarities", SID 01 Digest, 164 (June 2001)				
	C12	Jacobson, J., et al., "The last book", IBM Systems J., 36, 457 (1997)				
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	C14	Kazlas, P., et al., "12.1" SVGA Microencapsulated Electrophoretic Active Matrix Display for Information Applicances", SID 01 Digest, 152 (June 2001)				
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	C16	O'Regan, B. et al., "A Low Cost, High-efficiency Solar Cell Based on Dye-sensitized colloidal TiO2 Films", Nature, Volume 353, October 24, 1991, 773-740				
	C17	Ota, I., et al., "Developments in Electrophoretic Displays", Proceedings of the SID, 18, 243 (1977)				
	C18	Pitt, M.G., et al., "Power Consumption of Microencapsulated Electrophoretic Displays for Smart Handheld Applications", SID 02 Digest, 1378 (2002)				
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Substitute for form 1449/PTO				Complete if Known		
				Application Number	10/708,121	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				First Named Inventor	Albert	
				Art Unit	2873	
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Sheet:	10	of:	10	Attorney Docket No.	H-365	

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